

9/4/03

PATENT

Attorney Docket No. MTI-31532

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Weimer, Ronald A.  
Serial No. : 09/941,827  
Filing Date : August 29, 2001  
For : Method of Improved High K Dielectric-Polysilicon Interface for CMOS Devices  
Examiner : HUYNH, Yennhu B.  
Group Art Unit : 2813  
Confirmation No. : 7551

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**Mailing**

- ☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231  
37 CFR 1.8(a) 37 CFR 1.10  
☐ with sufficient postage as first class mail ☒ As "Express Mail Post Office to Addressee" Mailing Label No. EY 326236245 US

**Transmission**

- ☐ transmitted by facsimile to Fax No \_\_\_\_\_ addressed to Examiner \_\_\_\_\_ at the U.S. Patent and Trademark Office.

Date: Sept 4, 03

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

1. Transmitted herewith is:

- Response
- Copy of previously submitted Information Disclosure Statement and Form 1449/PTO.
- Return Postcard

**STATUS**

2. Applicant is a large entity.

**EXTENSION OF TERM**

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.
- ☒ Applicant believes that no extension of term is required. However, if an extension of time is required, please consider this a petition therefor.
- ☐ Applicant petitions for an extension of time under 37 C.F.R. 1.136 for the total number of months checked below [fees: 37 C.F.R. 1.17(a)(1)-(4)] :

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 390.00	\$ 195.00
<input type="checkbox"/> three months	\$ 890.00	\$ 445.00
<input type="checkbox"/> four months	\$ 1,390.00	\$ 695.00
		Fee: <u>\$0.00</u>

*If an additional extension of time is required, please consider this a petition therefor.*

**FEE FOR CLAIMS**

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

Claims Remaining After Amendment		Highest No. Previously Paid For		Rate (Small Entity)	Additional Fee or	Rate (Large Entity)	Additional Fee
Total 134	Minus	134	=	x 9= \$	\$	0 x 18	\$ 00
Independent 51	Minus	51	=	x 42= \$	\$	0 x 84	\$ 00

**FIRST PRESENTATION OF MULTIPLE DEP CLAIM**

TOTAL  
ADDIT. Fee \$

or TOTAL  
ADDIT. Fee \$ 00

- c. ☐ No additional fee for claims is required.
- d. ☒ Total additional fee for claims required \$ 00

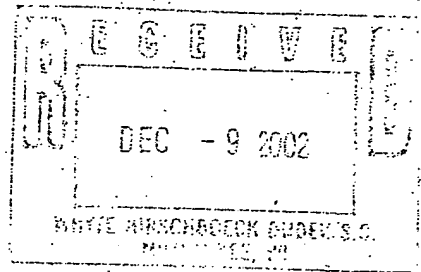
**FEE DEFICIENCY**

5. ☒ If any additional extension and/or fee is required, charge Account No. 23-2053.
- ☒ If any additional fee for claims is required, charge Account No. 23-2053.

Date: September 4, 2003

Kristine M. Strodthoff  
Kristine M. Strodthoff, Reg. No. 34,259

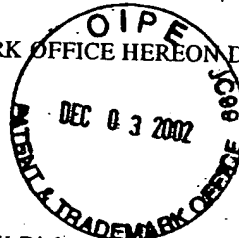
Whyte Hirschboeck Dudek S.C.  
111 East Wisconsin Avenue  
Suite 2100  
Milwaukee, WI 53202  
(414) 273-2100  
Customer No. 31870



**COPY**

THE STAMP OF THE U.S. PATENT & TRADEMARK OFFICE HEREON DENOTES  
RECEIPT ON THE DATE STAMPED OF:

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Attorney Docket No.: MTI-31532



Enclosures: Response to Restriction Requirement and Preliminary Amendment,  
Replacement Claims, Blacklined Claims, Supplemental Information Disclosure Statement,  
Form 1449, copy of references, Transmittal, Check No. 157718 for \$870.00 (claims  
fees), and return postcard.

Date: December 3, 2002  
PRR/KXS

Express Mail No. EV 048318230 US

DOCKET BY hs elvis

CDC BY \_\_\_\_\_

ATTY. INITIALS \_\_\_\_\_

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Examiner: Huynh, Yennhu B.  
Attorney Docket No.: MTI-31532



Enclosures: Response, copy of previously submitted IDS and Form 1449/PTO,  
and return postcard.

Date: September 4, 2003  
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Date: 12-3-02 Antonia J. Ortiz

Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In compliance with Applicant's duty of disclosure as set forth in 37 C.F.R. §1.56, listed on the attached equivalent to Form PTO-1449 are those patents and other publications known to Applicant which may be considered material to the patentability of the claims of the above-identified application.

Applicant respectfully requests that the listed documents be considered by the Examiner, that these references be made of record in the present application, and that an initialed copy of the Form PTO-1449 be returned to the undersigned in accordance with MPEP 609.

No fee is due for consideration of these items because this statement is submitted prior to the issuance of an Office Action on the merits.

Respectfully submitted,

*Kristine M Strodthoff*

Dated: December 3, 2002

Kristine M. Strodthoff, Reg. No. 34,259

WHYTE HIRSCHBOECK DUDEK S.C.

111 East Wisconsin Avenue, Suite 2100

Milwaukee, Wisconsin 53202

(414) 273-2100

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